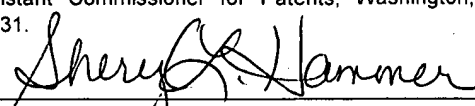
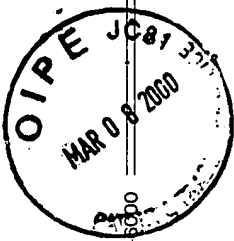


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AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. BRN-10202/03	
Applicant(s): Baser et al		Serial No. 09/256,540		Filing Date Feb. 24, 1999	
		Examiner		Group Art Unit 2764	
Invention: ELECTRONIC COMMERCE METHODS AND APPARATUS					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application.					
<input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.					
<input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	37 -	32 =	5 x	\$9.00	\$45.00
INDEP. CLAIMS	3 -	3 =	0 x	\$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$45.00
<input type="checkbox"/> No additional fee is required for amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. 07-1180 in the amount of \$45.00 A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed.					
<input type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
Signature				Dated: March 3, 2000	
John G. Posa Reg. No. 37,424 Gifford, Krass, Groh et al 280 N. Old Woodward Ave., Suite 400 Birmingham, MI 48009 Tel. 734/913-9300					
<div style="border-bottom: 1px solid black; margin-bottom: 5px;">I certify that this document and fee is being deposited on 3/3/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</div> <div style="text-align: center; margin-bottom: 5px;"></div> <div style="text-align: center; margin-bottom: 5px;">Signature of Person Mailing Correspondence</div> <div style="text-align: center; margin-bottom: 5px;">Sheryl L. Hammer</div> <div style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</div>					

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Baser et al

Serial No.: 09/256,540

Art Unit: 2764

Filed: Feb. 24, 1999

Examiner:

For: ELECTRONIC COMMERCE METHODS AND APPARATUS

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Prior to examination, please amend the above-referenced application as follows:

IN THE CLAIMS:

1. (Amended) A method of performing a pre-paid electronic-commerce transaction for a user having a personal identification number (PIN), the method comprising the steps of:

- receiving a request for goods or services from the user and creating a transaction instance;
- retrieving account information relating to the user's PIN, including the user's remaining balance;
- determining whether or not the transaction can take place as a function of the user's remaining balance;
- proceeding with the transaction and servicing the request if the user's account is sufficiently funded;
- [generating an unrated service data record;]
- calculating the purchase price of the requested goods or services [based upon the service data record]; and

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